ROSSLYN • HILL
MINING

Department of Water and Environmental Regulation

Compliance Assessment Report 2021

Rosslyn Hill Mining Pty Ltd

Date: October 2021

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Paroo Station Lead Mine
30 km west of Wiluna, Wiluna WA 6646

Rosslyn Hill Mining – Compliance Assessment Report

Document Control:

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Review	Jennie Hills	28-Oct-2021	Sustainability supervisor
Approved Final	Andrew Worland	1-Nov-2021	Chief Executive Officer

Distribution:

Company	Copies	Contact
Rosslyn Hill Mining Pty Ltd	1	Document Library
Rosslyn Hill Mining 2021 Annual Environmental Report External Recipients	2	DWER

1 Introduction

1.1 Background

On January 17, 2015 Rosslyn Hill Mining Pty Ltd (RHM) initiated steps to place the Paroo Station Lead Mine (Mine) into care and maintenance due to depressed global lead prices and rising concentrate treatment costs.

The final shipment of lead carbonate concentrate left the State of Western Australia on March 14, 2015. Final sampling results for the transport route monitoring were received on April 10, 2015.

RHM has conducted a feasibility study on the construction and operation of a hydrometallurgical facility to process lead carbonate concentrate into lead ingots. After a period of extensive consultation RHM received Ministerial Statement 1083 (Statement 1083) on the September 25, 2018 which supersedes all previous Ministerial Statements permitting the construction and operation of the hydrometallurgical facility.

1.2 Objective

The objective of this Compliance Assessment Report (CAR) is to report RHM's compliance with the conditions contained in Statement 1083 from September 25, 2020 to September 24, 2021 (reporting period), in accordance with section 2 of RHM's 2018 approved Compliance Assessment Plan (CAP).

Statement 1083 Condition 3.1 requires the CAP to be maintained to the satisfaction of the CEO. This CAR has been prepared in accordance with the CAP. Compliance during the reporting period has been assessed against Statement 1083

Condition 3-6 of Statement 1083 requires this CAR to be prepared and submitted to the CEO addressing the twelve (12) month period from the date of issue of the Statement 1083 (September 25, 2018), and then annually from the date of submission of the first Compliance Assessment Report (December 24) or as otherwise agreed in writing by the CEO.

Statement 1083, Condition 3-6 provides that:

> *The proponent shall submit to the CEO the first Compliance Assessment Report fifteen (15) months from the date of issue of this Statement addressing the twelve (12) month period from the date of issue of this Statement and then annually from the date of submission of the first Compliance Assessment Report, or as otherwise agreed in writing by the CEO. The compliance assessment report shall:*
>
> 1. *be endorsed by the proponent's Managing Director or in his absence the company secretary, or other person approved by the CEO;*
> 2. *include a statement as to whether the proponent has complied with the conditions;*
> 3. *identify all potential non-compliances and describe corrective and preventative actions taken;*
> 4. *be made publicly available in accordance with the approved compliance assessment plan; and*

5 *indicate any proposed changes to the compliance assessment plan required by condition 3-1.*

1.3 Report Structure

This CAR has been structured utilising the following headings and sub-headings to convey all relevant information in accordance with RHM's 2018 CAP:

- Cover Page
- Distribution table
- Table of Contents
  - 1.0 Introduction
    - Background
    - Objective
    - Report Structure
  - 2.0 Annual Compliance
    - Overview
    - Proposal implementation status
    - Compliance Statement
    - Potential Non-compliances and Corrective Actions
  - 3.0 CAP Proposed Changes for which CEO approval is sought
  - 4.0 Company Endorsement
  - 5.0 References
  - 6.0 Appendix
    - Appendix A: Ministerial Statement 1083 Audit Table effective September 25, 2019 to September 24, 2020

2 Annual Compliance

2.1 Overview

This CAR details RHM's compliance during the reporting period against Ministerial Statement 1083. This CAR has been prepared in accordance with the RHM's 2018 CAP.

2.1.1 Proposal Implementation Status

The last shipment of lead carbonate concentrate left the Mine on February 13, 2015. The last shipment of lead carbonate concentrate left the Leonora depot on February 25, 2015. The final shipment of lead carbonate concentrate left the State of Western Australia on March 14, 2015.

The current proposal described in Statement 1083 has not been implemented for the reporting period September 25, 2020 to September 24, 2021. RHM operations remained in care and maintenance during the reporting period.

Activities which occurred during the reporting period up to September 24, 2021 include:

- Project stakeholder activities, advice from RHM via email to the Inner Harbour Community Liaison Group on December 1, 2020 advising annual CAR was available on the website.
- Email communication to the Minister for the Environment advising the CAR was available as nominated by Condition 3-7 and correspondence in the form of a letter, from the Minister for the Environment dated 17 December 2020, advising the RHM correspondence was received.

2.2 Compliance Statement

RHM's compliance with Statement 1083 as they applied during the reporting period has been assessed and the findings are presented as follows:

- The audit table contained in Appendix A (in the format required by the DWER).

A copy of the Company Endorsement signed by Mr Andrew Worland (CEO) is provided in **Section 4**.

2.3 Potential Non-Compliances and Corrective Actions

There were no potential non-compliances or corrective actions in the reporting period.

2.4 Details of compliance status

The audit table contained in Appendix A contains a detailed review of the compliance status of each implementation condition.

2.4.1 Environmental Management Program

Compliance aspects of the Environmental Management Program (EMP) were not required to be met as the mine was in care and maintenance for the reporting period.

2.4.2 Environmental Monitoring Programs

The Environmental Monitoring Program has not been undertaken as the proposal has not been implemented under Statement 1083. The Mine was in care and maintenance for the reporting period.

3 CAP Proposed Changes for Which CEO Approval Is Sought

There are no changes for which CEO approval is sought for the 2018 CAP up to September 24, 2021.

4 Company Endorsement

SIGNATURE AND CERTIFICATION

Rosslyn Hill Mining Pty Ltd has complied with the Conditions under Ministerial Statement 1083 for the period September 25, 2020 to September 24, 2021.

I declare that the information presented in this CAR is correct and not false or misleading.

SIGNATURE: _____

NAME: **Mr Andrew Worland**
POSITION: **Chief Executive Officer**

DATE: **November 1, 2021**

5 References

Rosslyn Hill Mining (2018). *Compliance Assessment Plan*.

Appendix A Audit Table

Government of Western Australia
Department of Water and Environmental Regulation

AUDIT TABLE

PROPOSAL: PAROO STATION LEAD MINE HYDROMETALLURGICAL FACILITY

STATEMENT: 1083

25 September 2020 – 24 September 2021

Note:

- Phases that apply in this table = Pre-Construction, Construction, Operation, Decommissioning, Overall (several phases).
- This audit table is a summary and timetable of conditions and commitments applying to this project. Refer to the Minister's Statement for full detail/precise wording of individual elements.
- Code prefixes: M = Minister's condition, P = Proponent's commitment.
- Acronyms list: CEO = Chief Executive Officer of OEPA; DEC = Department of Environment Regulation; DPAW = Department of Parks and Wildlife; DIA = Department of Indigenous Affairs; DMP = Department of Mining and Petroleum; DWER = Department of Water and Environmental Regulation; EPA = Environmental Protection Authority; DoH = Department of Health; DoW = Department of Water, Minister for Env = Minister for the Environment; OEPA = Office of the Environmental Protection Authority.
- Compliance Status: C = Compliant, CLD = Completed, NA = Not Audited, NC = Non – compliant, NR = Not Required at this stage. Please note the terms VR = Verification Required and IP = In Process are only for OEPA use.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
1083:M1.1	**Proposal Implementation**	The proponent shall implement the proposal as documented and described in Schedule 1 of this Statement subject to the conditions and procedures of this Statement.	Implement proposal and the conditions and procedures of Statement 1083.	Annual Compliance Assessment Report (CAR).	Overall	Duration of project.	NR	Proposal has not been implemented as the operation remains in care and maintenance.
1083:M2.1	**Contact Details**	The proponent for the time being nominated by the Minister for Environment under section 38(6) or (7) of the *Environmental Protection Act 1986* is responsible for the implementation of the proposal.	Proponent to implement the proposal.	CAR, Ministerial Statement 1083 nominating the proponent signed by the Minister.	Overall	Duration of project.	C	Rosslyn Hill Mining is the nominated proponent of the proposal Statement 1083 as signed by the Minister for the Environment 25 September 2018.
1083:M2.2	**Contact Details**	The proponent shall notify the Chief Executive Officer (CEO) of any change of its name, physical address or postal address for the serving of notices or other correspondence within twenty-eight (28) days of	Notify CEO of any change of the proponent's name, physical address or postal address.	Copy of correspondence notifying the CEO of the proponents change of the name and/or address of the proponent.	Overall	Within 28 business days of change of proponent's name or address.	C	Change of address letter provided dated 7 December 2020.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		such change. Where the proponent is a corporation or an association of persons, whether incorporated or not, the postal address is that of the principal place of business or of the principal office in the State.						
1083:M3A1	**Time Limit on Shipments through Fremantle Port**	The proponent shall not ship lead carbonate concentrate through Fremantle Port beyond 27 July 2024.	Cease shipping of lead carbonate concentrate through Fremantle Port by 27 July 2024.	CAR	Overall	27 July 2024	NR	No shipping of lead carbonate was conducted during the reporting period due to the mine-site being in care and maintenance.
1083:M3.1	**Compliance Reporting**	The proponent shall prepare and maintain a Compliance Assessment Plan which is submitted to the CEO from the date of issue of this Statement.	Develop a Compliance Assessment Plan and submit to CEO for approval.	Compliance Assessment Plan (CAP), copy of correspondence showing CEO approval of CAP.	Overall	Duration of project.	C	Letter received on the 21 November 2018 from the Executive Director Compliance and Enforcement approving the Compliance Assessment Plan.
1083:M3.2	**Compliance Reporting**	The Compliance Assessment Plan shall indicate: (1) the frequency of compliance reporting; (2) the approach and timing of compliance assessments; (3) the retention of compliance assessments; (4) the method of reporting of potential non-compliances and corrective actions taken; (5) the table of contents of Compliance Assessment Reports; and (6) public availability of Compliance Assessment Reports.	Develop a Compliance Assessment Plan and submit to CEO for approval.	Copy of correspondence showing CEO approval of CAP.	Overall	Duration of project.	C	Letter received on the 21 November 2018 from the Executive Director Compliance and Enforcement approving the Compliance Assessment Plan.
1083:M3.3	**Compliance Reporting**	After receiving notice in writing from the CEO that	Assess compliance with conditions in	CAR, CAP	Overall	As required by CAP for duration of project.	C	This document satisfies the condition.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		the Compliance Assessment Plan satisfies the requirements of condition 3-2, the proponent shall assess compliance with conditions in accordance with the Compliance Assessment Plan required by condition 3-1.	accordance with Compliance Assessment Plan.					
1083:M3.4	**Compliance Reporting**	The proponent shall retain reports of all compliance assessments described in the Compliance Assessment Plan required by condition 3-1 and shall make those reports available when requested by the CEO.	Retain copies of all Compliance Assessment Reports and provide to CEO when requested in writing.	CAR, CAP, written requests from CEO, letter providing CAR in response to CEO request.	Overall	Life of the proposal and a minimum of seven years following the end of the life of the proposal.	NR	This Condition has not been implemented as the operation remains in care and maintenance.
1083:M3.5	**Compliance Reporting**	The proponent shall advise the CEO of any potential non-compliance within seven (7) days of that non-compliance being known.	Report any potential non-compliance to CEO within 7 days of being known to the proponent.	CAR, written correspondence reporting potential non-compliances to CEO.	Overall	Within 7 business days of a potential non-compliance being known to the proponent.	C	Rosslyn Hill Mining did not have any potential non-compliances to report during the reporting period.
1083:M3.6	**Compliance Reporting**	The proponent shall submit to the CEO the first Compliance Assessment Report fifteen (15) months from the date of issue of this Statement addressing the twelve (12) month period from the date of issue of this Statement and then annually from the date of submission of the first Compliance Assessment Report, or as otherwise agreed in writing by the CEO. The Compliance Assessment Report shall: (1)	Submit CAR annually by 24 December. CAR to be endorsed by appropriate company representative.	CAR, written correspondence showing submission of annual CAR to CEO. Correspondence from CEO acknowledging receipt of CAR. Any correspondence from CEO agreeing reporting period.	Overall	By 24 December Annually, addressing the previous twelve-month period from 25 September to 24 September or as agreed by CEO, for duration of project.	C	This audit and the associated CAR, is for the current reporting period.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		be endorsed by the proponent's Chief Executive Officer or a person delegated to sign on the Chief Executive Officer's behalf (2) ;include a statement as to whether the proponent has complied with the conditions; (3) identify all potential non-compliances and describe corrective and preventative actions taken; (4) be made publicly available in accordance with the approved Compliance Assessment Plan; and (5) indicate any proposed changes to the Compliance Assessment Plan required by condition 4-1.						
1083:M3.7	**Compliance Reporting**	The proponent shall ensure that the CEO and the reference group referred to in condition 17-1 is notified that the Compliance Assessment Report required by condition 3-6 is available.	Notify Minister for Environment and reference group of availability of annual CAR.	CAR, written correspondence notifying Minister and reference group of availability of CAR.	Overall	Annually, for duration of project.	NR	This condition has not been implemented as the operation remains in care and maintenance.
1083:M4.1	**Public Availability of Data**	Subject to condition 4-2, within a reasonable time period approved by the CEO of the issue of this Statement and for the remainder of the life of the proposal the proponent shall make publicly available, in a manner approved by the CEO, all	Retain validated environmental data and ensure it is made publically available	Copies of validated environmental data (including sampling design, sampling methodologies, empirical data and derived information products (e.g. maps)) relevant to the assessment of this	Overall	Annually and for the duration of the project	NR	Proposal has not been implemented as the operation remains in care and maintenance.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		validated environmental data (including sampling design, sampling methodologies, empirical data and derived information products (e.g. maps)) relevant to the assessment of this proposal and implementation of this Statement.		proposal and implementation of this Statement retained on the Rosslyn Hill Mining website.				
1083:M4.2	**Public Availability of Data**	If any data referred to in condition 4-1 contains particulars of: (1) a secret formula or process; or (2) confidential commercially sensitive information, the proponent may submit a request for approval from the CEO to not make these data publicly available. In making such a request the proponent shall provide the CEO with an explanation and reasons why the data should not be made publicly available.	Written request to the CEO.	Copy of the letter sent to the CEO.	Overall	Annually and for the duration of the project	NR	No written request has been sent to the CEO by Rosslyn Hill Mining for commercially sensitive environmental data to be kept in confidence.
1083:M5.1	**Bagging and Shipping Container Management**	The proponent shall ensure that lead carbonate concentrate (other than quantities of less than 30 kilograms removed for product testing purposes) which is to be removed from the mine-site is dealt with only in accordance with the following procedures: (1) prior to being removed	Undertake bagging , loading and transport of lead carbonate concentrate as required by 1083:M5.1 sub conditions 1 -8	CAR , Independent Inspection Reports	Operation	Duration of project.	NR	No lead carbonate concentrate greater than 30kg was removed from the mine-site during the reporting period.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		from the mine-site, lead carbonate concentrate shall be: (a) placed into double laminated water-proof and sieve proof bags which are sealed so as to prevent the release of lead carbonate concentrate from the bag; (b) all visible dust shall be removed from the exterior of the bags immediately before they are placed in a clean shipping container which when loaded is locked, so that the only material containing lead carbonate concentrate is in sealed bags within the container when the container leaves the mine-site; (2) the locked shipping containers shall be transported by road to a designated area in Leonora and then by rail from Leonora to Fremantle Port on the road/rail transport route identified generally in Figures 2 to 17, unless a change in route is approved by the CEO on advice of the Department of Transport and the Department of Health. The locked shipping containers shall be stored in a secure manner at Leonora and at Fremantle Port prior to						

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		being loaded onto vessels for export; (3) unless required by a public official or public authority acting with lawful authority or the Independent Inspector pursuant to condition 16-1(3), the shipping containers shall be kept locked and the seals on the bags shall not be broken between the time when the shipping container leaves the mine-site and the time it is removed from the State; (4) the moisture content of the lead carbonate concentrate in the sealed bags shall be at least 7.5% at the time the shipping container leaves the mine-site; (5) the shipping containers shall only be lifted by top-lifting equipment at all times after being loaded with sealed bags of lead carbonate concentrate and locked; (6) all bags shall be inspected by the Independent Inspector engaged under condition 16 after they are sealed and immediately before they are loaded into a shipping container and all containers shall be inspected by the Independent Inspector						

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		engaged under condition 16 before being removed from the mine site; (7) no damaged double laminated water-proof and sieve proof bags which could compromise the containment of lead carbonate concentrate, shall be used for bagging lead carbonate concentrate; (8) the weight of the sealed double laminated water-proof and sieve proof bags loaded with lead carbonate concentrate shall not be above 2,000 kilograms prior to loading into shipping container; and (9) no damaged shipping containers, which could compromise the containment of lead carbonate, shall be used to transport sealed double laminated water-proof and sieve proof bags containing lead carbonate concentrate from the mine-site.						
1083:M5.2	**Bagging and Shipping Container Management**	Prior to removing shipping containers containing lead carbonate concentrate from the mine-site at any time from the date of publication of this Statement, the proponent shall prepare and submit to the CEO, for approval and	Prepare Environmental Management Program (EMP) as required by 1083:M5.2 and submit to CEO for approval.	CAR, Rosslyn Hill Mining Environmental Management Program (EMP), approval by CEO.	Operation	Before removing shipping containers containing lead carbonate concentrate from the mine-site at any time after operations start.	NR	No shipping containers containing lead carbonate concentrate were removed from the mine-site during the reporting period.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		on the advice of the Department of Mines, Industry Regulation and Safety, an Environmental Management Program which makes provision for the following matters in a manner which is consistent with the requirements of conditions 5-1 and 6: (1) document standards, guidelines and codes of practice relating to the management of lead carbonate concentrate; (2) detail procedures to be applied in the mining, processing and storage areas at the mine-site to minimize` disturbance of lead carbonate concentrate and to ensure that the release to the environment is minimised; (3) detail procedures to be applied for the packaging and transport of the lead carbonate concentrate from the mine-site through to export from Fremantle Port, including the use of top-lifting equipment for the lifting of the shipping containers; (4) detail the process which will be applied to ensure ongoing assessment of the risk of lead carbonate						

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		contamination, including environmental biological monitoring to evaluate the environmental risks and determine appropriate control measures; and (5) detail the existing storage and ship loading facilities at Fremantle Port which are being used for lead carbonate concentrate, including: (a) equipment to be used; (b) procedures and monitoring programs in place to identify potential pathways for lead carbonate concentrate to enter the environment; and (c) where equipment, management or revised procedures are found to pose a risk to the safe storage and ship loading of the lead carbonate concentrate, additional equipment, management or revised procedures are to be identified and acquired or implemented.						
1083:M5.3	**Bagging and Shipping Container Management**	The proponent shall implement the Environmental Management Program required by condition 5-2.	Implement the approved EMP required by condition 5-2.	CAR, independent third party audit reports.	Operation	Duration of project.	NR	The Environmental Management Program was not implemented as there were no shipping containers containing lead carbonate concentrate removed from the mine-site during the reporting period.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
1083:M5.4	**Bagging and Shipping Container Management**	Revisions to the Environmental Management Program may be approved by the CEO on the advice of the Department of Mines, Industry Regulation and Safety.	Submit any revisions of the EMP to CEO for approval.	CAR, Revised EMP, CEO approval of revised EMP.	Operation	Duration of project.	NR	The Environmental Management Program was not implemented as there were no shipping containers containing lead carbonate concentrate removed from the mine-site during the reporting period.
1083:M5.5	**Bagging and Shipping Container Management**	The proponent shall implement revisions of the Environmental Management Program approved under condition 5-4.	Implement approved revisions to EMP.	CAR, independent third party audit reports.	Operation	Duration of project.	NR	The Environmental Management Program was not implemented as there were no shipping containers containing lead carbonate concentrate removed from the mine-site during the reporting period.
1083:M6.1	**Prevention of Discharge of Lead Carbonate**	The proponent shall ensure that no lead carbonate concentrate which is transported from the mine-site to Fremantle Port is discharged from within the shipping containers and causes environmental harm as identified at monitoring sites detailed in Schedule 2.	Ensure no lead carbonate concentrate is discharged from shipping containers and causes environmental harm at the monitoring sites required by 1083:M6.1 Schedule 2.	CAR, independent third party audit reports, independent inspector reports	Operation	Duration of project.	NR	No lead carbonate concentrate was transported from the mine-site to Fremantle Port during the reporting period.
1083:M7.1	**Lead Carbonate Concentrate Shipping Container and Lead Metal Ingots Transport Cleanliness**	Lead carbonate concentrate shipping containers shall be free of all visible mud containing lead carbonate prior to being removed from the mine-site and prior to being loaded onto the train at Leonora.	Ensure shipping containers free of all visible mud before removal from mine-site and before loading onto train at Leonora.	CAR, independent inspector reports	Operation	Before removing shipping containers from mine-site, and before loading shipping containers onto train at Leonora, for duration of project.	NR	No lead carbonate concentrate was transported from the mine-site to Fremantle Port during the reporting period.
1083:M7.2	**Lead Carbonate Concentrate Shipping Container and Lead Metal Ingots**	Transport packaging used for transport of lead metal ingots shall be free of all visible mud containing lead carbonate prior to being	Ensure transport packaging free of all visible mud before removal from mine-site	CAR, independent inspector reports	Operation	Before removing transport packaging from mine-site	NR	No lead metal ingots were transported from the mine-site during the reporting period.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
	Transport Cleanliness	removed from the mine-site.						
1083:M8.1	**Sampling Program**	The proponent shall conduct a Sampling Program to determine total lead in air, dust, soil and sediment. The description of the sampling, the location and frequency of sampling, the sampling method and reporting units are to be done in accordance with the table (as shown on page 7 of the Ministerial statement 1083)	Conduct Sampling Program as required by 1083:8.1 Table on Page 7 of MS1083.	CAR, Quarterly Monitoring Report, independent third party audit reports	Operation	As required by 1083:8.1 Table on Page 7.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by the proponent until 30 June 2022.
1083:M8.2	**Sampling Program**	The proponent shall ensure that samples taken in accordance with condition 8-1 are analysed by a NATA accredited analytical laboratory for each specific analyte.	Ensure samples analysed utilising a laboratory accredited by NATA for the analyte being analysed.	Independent third party audit report. Documents showing accreditation of laboratory.	Operation	Duration of project.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by the proponent until 30 June 2022.
1083:M8.3	**Sampling Program**	The CEO may approve the ceasing of the Sampling Program, or parts thereof, referred to in condition 8-1 during periods when lead carbonate concentrate is not being transported.	Request cessation of Sampling program from the CEO in the event that transport of lead carbonate concentrate ceases.	CAR, written correspondence showing CEO of CEO approving of cessation of sampling.	Operation	If the transportation of lead carbonate concentrate ceases during the project.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by the proponent until 30 June 2022.
1083:M8.4	**Sampling Program**	The CEO may approve changes to the Sampling Program, or parts thereof, referred to in condition 8-1 in response to the results of monitoring over a given time period, as approved by the CEO.	Request CEO approval for change to Sampling Program where relevant.	CAR, written correspondence showing CEO approval to change the Sampling Program.	Operation	In response to the results of monitoring over a given period approved by the CEO.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by the proponent until 30 June 2022.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
1083:M9.1	**Sampling Analysis and Reporting Timing Obligations**	The proponent shall ensure that all monitoring samples collected pursuant to condition 8 are dispatched to a NATA accredited analytical laboratory in accordance with condition 8-2 within the timeframe specified below: (1) no later than the next business day following collection for samples collected within the Perth Metropolitan Region; and (2) no later than five business days of being collected for samples collected outside of the Perth Metropolitan Region.	Dispatch monitoring samples to NATA accredited analytical laboratory within timeframes required by 1083:M9.1	CAR, Independent third party audit report. Chain of custody forms. Quarterly Monitoring Report.	Operation	No later than the next business day following collection for samples collected within the Perth Metropolitan Region; and no later than five business days of being collected for samples collected outside of the Perth Metropolitan Region.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by the proponent until 30 June 2022.
1083:M9.2	**Sampling Analysis and Reporting Timing Obligations**	Where analysis of a sample undertaken in accordance with condition 8 indicates a result above the lead baseline trigger level for the relevant site (as detailed in Schedule 2), the proponent shall ensure the relevant sample is dispatched to a NATA accredited laboratory to be isotopically tested no later than the next business day after the proponent receives the certificate of analysis confirming that the relevant sample result is above the lead baseline trigger level for the sample site, detailed in Schedule 2.	Dispatch samples above lead baseline trigger level to NATA accredited laboratory for isotopic testing no later than the next business day after receiving certificate of analysis confirming sample result.	CAR Independent third party audit report. Chain of custody forms. Quarterly Monitoring Report.	Operation	No later than the next business day after the proponent receives the certificate of analysis confirming that the relevant sample result is above the lead baseline trigger level for the sample site, detailed in Schedule 2.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by the proponent until 30 June 2022.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
1083:M9.3	**Sampling Analysis and Reporting Timing Obligations**	The Managing Director of the proponent, or in his absence the company secretary, or other person approved by the CEO, shall ensure that a copy of the certificates of analysis of all monitoring results which are above the lead baseline trigger level for a site are reported to the Department of Water and Environmental Regulation, the Department of Biodiversity, Conservation and Attractions, the Department of Health, the Department of Mines, Industry Regulation and Safety, the Department of Transport, the Fremantle Port Authority and the relevant local authority, no later than the next business day following receipt of the results by the proponent.	Managing Director, company secretary, or approved person to provide a copy of certificates of analysis for isotopic testing showing that the lead is not Rosslyn Hill lead to the CEO, Department of Water and Environmental Regulation, the Department of Biodiversity, Conservation and Attractions, the Department of Health, the Department of Mines, Industry Regulation and Safety, Department of Transport, Fremantle Port Authority and the relevant local authority.	CAR. Copies of certificates of analysis. Written correspondence from MD, company secretary or approved person. Approval from CEO for person to submit copies. Independent third party audit report. Quarterly Monitoring Report.	Operation	No later than the next business day following receipt of the results by the proponent.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by the proponent until 30 June 2022.
1083:M9.4	**Sampling Analysis and Reporting Timing Obligations**	The Managing Director of the proponent, or in his absence the company secretary, or other person approved by the CEO, shall ensure that a copy of the certificates of analysis for isotopic testing showing that the lead is not Rosslyn Hill lead is provided to the Department of Water and Environmental Regulation,	Managing Director, company secretary, or approved person to provide a copy of certificates of analysis for isotopic testing showing that the lead is not Rosslyn Hill lead to the CEO, the Department of Water and Environmental Regulation, the	CAR Copies of certificates of analysis. Written correspondence from MD, company secretary or approved person. Approval from CEO for person to submit copies.	Operation	No later than the next business day following receipt of the results by the proponent.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by the proponent until 30 June 2022.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		the Department of Biodiversity, Conservation and Attractions, the Department of Health, the Department of Mines, Industry Regulation and Safety, the Department of Transport, the Fremantle Port Authority and the relevant local authority, no later than the next business day following receipt by the proponent.	Department of Biodiversity, Conservation and Attractions, the Department of Health, the Department of Mines, Industry Regulation and Safety, the Department of Transport, the Fremantle Port Authority and the relevant local authority.	Independent third party audit report. Quarterly Monitoring Report.				
1083:M9.5	**Sampling Analysis and Reporting Timing Obligations**	Where isotopic testing confirms that monitoring results for a site are above the lead baseline trigger levels and show the presence of Rosslyn Hill lead, the Managing Director of the proponent, or a member of the board authorised by the board to do so, shall ensure that a copy of the certificates of analysis for isotopic testing is provided to the Department of Water and Environmental Regulation, the Department of Biodiversity, Conservation and Attractions, the Department of Health, the Department of Mines, Industry Regulation and Safety, the Department of Transport, the Fremantle	Managing Director or member of the board to provide a copy of certificates of analysis for isotopic testing showing the presence of Rosslyn Hill lead above lead baseline trigger levels to the CEO, Department of Environment· and Conservation, Department of Health, Department of Mines and Petroleum, Department of Transport, Fremantle Port Authority and the relevant local authority.	CAR Copies of certificates of analysis. Written correspondence from MD or board member, authorisation of board. Independent third party audit report. Quarterly Monitoring Report.	Operation	No later than the next business day following receipt of the results by the proponent.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by the proponent until 30 June 2022.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		Port Authority and the relevant local authority, no later than the next business day following receipt by the proponent.						
1083:M9.6	**Sampling Analysis and Reporting Timing Obligations**	The proponent shall provide the Department of Water and Environmental Regulation with a report, in a format approved by the CEO, within the first five business days following the end of every quarter from recommencement of operations, which includes: (1)details of all monitoring samples collected in the preceding three months; (2) a record of dates when ship loading of Rosslyn Hill lead has occurred and the number of containers loaded; (3) copies of certificates of analysis and chains of custody for all monitoring results received in the preceding three months; and (4) a comparison of all results against the lead baseline trigger levels detailed in Schedule 2 for the preceding three months.	Seek CEO approval of report format and provide Quarterly Monitoring Report to CEO in approved format.	CAR Written correspondence from CEO approving format of Quarterly Monitoring Report. Quarterly Monitoring Report. Independent third party audit report.	Operation	Within the first five business days following the end of every quarter from recommencement of operations.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by the proponent until 30 June 2022.
1083:M9.7	**Sampling Analysis and Reporting Timing Obligations**	The proponent shall ensure that a summary of the results obtained from the sampling program detailed in condition 8-1 and	Ensure sampling results and isotopic testing results are made publicly available.	CAR Rosslyn Hill Mining website. Approval of CEO for any time extension.	Operation	Within five business days of receipt of the results or as required by the CEO.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		isotopic testing detailed in condition 9-2 are made publicly available within five business days of receipt of the results or as required by the CEO.						the proponent until 30 June 2022.
1083:M9.8	**Sampling Analysis and Reporting Timing Obligations**	The proponent shall report all results of the Sampling Program as required by condition 8-1 to the reference group referred to in condition 17-1 at least once every calendar year.	Report results of Sampling Program to Fremantle Ports Inner Harbor Community Liaison Group (FPIHCLG) (or such group otherwise determined by the Minister).	CAR Written correspondence confirming a monitoring report was submitted to the reference group.	Operation	At least once every calendar year.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by the proponent until 30 June 2022.
1083:M9.9	**Sampling Analysis and Reporting Timing Obligations**	The proponent shall revise the lead baseline trigger levels at any of the sites, outlined in Schedule 2, on the advice of the CEO where monitoring results show that the lead baseline trigger level has been exceeded and isotopic testing of the site samples demonstrate that Rosslyn Hill lead is not present at the sample site. The latest monitoring result may become the new lead baseline trigger level for these sites providing that the new lead baseline trigger level does not exceed the lead baseline trigger level defined in point b in condition 20 for the particular sampling type	Revise lead baseline trigger levels on advice of CEO.	CAR, monitoring results, correspondence from the CEO advising on revision of lead baseline trigger levels.	Operation	After receipt of isotope test results indicating lead is not Rosslyn Hill type following advice from the CEO of the CEO to revise baseline trigger levels.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by the proponent until 30 June 2022.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		(that is, benthic sediment sampling, soil sampling etc.).						
1083:M9.10	**Sampling Analysis and Reporting Timing Obligations**	The CEO may approve changes to the sampling analysis and reporting timelines, referred to in condition 9 in response to the results of monitoring over a given time period, as approved by the CEO.	Request CEO approve changes to sampling analysis and reporting timelines where relevant.	CAR, written correspondence from the CEO of CEO approving changes to sampling analysis and reporting timeframes.	Operation	In response to the results of monitoring over a given time period, as approved by the CEO.	NR	Written correspondence received from CEO on 18 November 2020 approving the cessation of the Sampling Program under Condition 8 by the proponent until 30 June 2022.
1083:M10.1	**Monitoring Triggers, Contingency Measures and Ceasing Transport**	The proponent shall report against the lead baseline trigger levels outlined in Schedule 2 (or any revised lead baseline trigger level approved in accordance with condition 9-9) and where monitoring shows that the results are above the lead baseline trigger level the proponent shall carry out isotopic testing required by condition 9-2.	Report against lead baseline trigger levels in Schedule 2 (or as updated) and carry out isotopic testing where relevant.	CAR Quarterly Monitoring Report. Independent third party audit report. Exceedance notifications to CEO.	Operation	For duration of project, and where monitoring shows that the results are above the lead baseline trigger level.	NR	No transport route sampling was conducted during the reporting period as advised in writing by the CEO on 28 February 2019.
1083:M10.2	**Monitoring Triggers, Contingency Measures and Ceasing Transport**	The proponent shall immediately cease transport of any further lead carbonate concentrate if the results of the isotopic testing undertaken in accordance with condition 9-2 show the presence of Rosslyn Hill lead at a sample site.	Cease transport of lead carbonate concentrate if isotopic testing shows the presence of Rosslyn Hill lead.	CAR Independent third party audit report.	Operation	Immediately upon receipt of relevant isotopic testing results that show the presence of Rosslyn Hill lead at the sample site.	NR	No transport route sampling was conducted during the reporting period as advised in writing by the CEO on 28 February 2019.
1083:M10.3	**Monitoring Triggers, Contingency**	Where isotopic testing shows that the result of monitoring samples above	Design an investigation in consultation with the Department of	CAR, Investigation design and consultation	Operation	Where isotopic testing shows that the result of monitoring samples	NR	No transport route sampling was conducted during the reporting

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
	Measures and Ceasing Transport	the lead baseline trigger level for a sample show the presence of Rosslyn Hill lead, the proponent shall design an investigation in consultation with the Department of Mines, Industry Regulation and Safety and the Department of Biodiversity, Conservation and Attractions, on the advice of the Department of Health to determine the source and extent of the lead and initiate a review of the packaging and transport procedures.	Mines, Industry Regulation and Safety and the Department of Biodiversity, Conservation and Attractions of the Department of Health to determine the source and extent of the lead and initiate a review of the packing and transport procedures.	correspondence and documents,		above the lead baseline trigger level for a sample show the presence of Rosslyn Hill lead.		period as advised in writing by the CEO on 28 February 2019.
1083:M10.4	**Monitoring Triggers, Contingency Measures and Ceasing Transport**	If the proponent ceases transport of lead carbonate concentrate in accordance with condition 10-2, it may only recommence transport in accordance with a Recommencement Plan approved by the CEO.	Recommence transport of lead carbonate concentrate in accordance with Recommencement Plan approved by CEO.	CAR. Recommencement Plan. Written correspondence from CEO approving Recommencement Plan.	Operation	If the proponent ceases transport of lead carbonate concentrate during the project in accordance with condition 10.2.	NR	Proposal has not been implemented as the operation remains in care and maintenance.
1083:M10.5	**Monitoring Triggers, Contingency Measures and Ceasing Transport**	The Recommencement Plan, to be prepared by the proponent, must include the following: (1) the reasons for the ceasing of transport; (2) the source of, and the extent of, the Rosslyn Hill lead detected; (3) the results of a review of packaging and transport procedures; (4) the plans of	Prepare the Recommencement Plan as required by 1083:M10.5	CAR Recommencement Plan.	Operation	If the proponent ceases transport of lead carbonate concentrate during the project in accordance with condition 10.2	NR	Proposal has not been implemented as the operation remains in care and maintenance.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		any clean-up required pursuant to condition 11-1 or results thereof if completed; and (5) management and monitoring measures for the recommencement of transport.						
1083:M11.1	**Transport Emergency Response Plan**	In the event that lead carbonate concentrate is discharged into the environment at any point between the mine-site and the shipping containers being removed from the State, the proponent shall implement the Transport Emergency Response Plan approved on 20 February 2016.	Implement Emergency Response Plan (ERP) where relevant.	CAR, Emergency Response Plan.	Operation	If lead carbonate concentrate is discharged into the environment at any point between the mine-site and the shipping containers being removed from the State during the project.		Proposal has not been implemented as the operation remains in care and maintenance.
1083:M11.2	**Transport Emergency Response Plan**	Revisions to the Transport Emergency Response Plan may be approved by the CEO, on advice of the Department of Fire and Emergency Services, the Port Authority and relevant Local Governments.	Submit revised ERP to the CEO for approval.	Revised ERP, CEO approval of revised ERP.	Operation	As required during the project.	NR	Proposal has not been implemented as the operation remains in care and maintenance.
1083:M11.3	**Transport Emergency Response Plan**	The proponent shall implement revisions of the Transport Emergency Response Plan approved pursuant to condition 11-2.	Implement Revised ERP as required.	CAR, Revised ERP.	Operation	Following approval of revisions of the Emergency Response Plan.	NR	Proposal has not been implemented as the operation remains in care and maintenance.
1083:M12.1	**Quality Control for Sampling and Analysis**	Prior to removing shipping containers containing lead carbonate concentrate from the mine-site at any time from the date of	Seek CEO approval of third party expert Third party expert to evaluate sampling and	CAR, CEO approval of third party expert, Quality Control and Sampling and Analysis evaluation report.	Construction	Before removing shipping containers containing lead carbonate concentrate	NR	Proposal has not been implemented as the operation remains in care and maintenance.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		publication of this Statement, the proponent shall engage a third party expert approved by the CEO to carry out an evaluation of the sampling methodology and analysis methodology for all sample types required by condition 8.	analysis methodologies.			from the mine-site at any time.		
1083:M12.2	**Quality Control for Sampling and Analysis**	The proponent shall demonstrate that the recommendations listed in the sampling and analysis evaluation required by condition 12-1 have been implemented or reasons provided why the recommendations cannot be implemented to the CEO prior to removing shipping containers containing lead carbonate concentrate from the mine-site at any time from the date of publication.	Correspond with CEO to demonstrate that recommendations in Quality Control and Sampling and Analysis evaluation report have been implemented or provide reasons why recommendations cannot be implemented.	CAR, written correspondence to CEO demonstrating that recommendations are being implemented or reasons why they cannot be implemented.	Construction	Before removing shipping containers containing lead carbonate concentrate from the mine-site at any time.	NR	Proposal has not been implemented as the operation remains in care and maintenance.
1083:M13.1	**Ongoing Audits of the Environmental Management Program**	The proponent shall appoint an independent third party approved by the CEO to undertake a compliance/assurance audit in accordance with an audit scope approved by the CEO, and provide a report on, the implementation of, or parts thereof, the Environmental Management Program as	Correspond with the CEO to demonstrate that recommendations in the independent third party report have been implemented or provide reasons why the recommendations cannot be implemented.	Written correspondence with CEO demonstrating that recommendations are being implemented or reasons why they cannot be implemented.	Operation	Within six months of the date of the compliance/assurance audit, for duration of project.	NR	Written correspondence received from CEO on 18 November 2020 granting approval to not undertake compliance/assurance audits until 30 June 2022.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		required by condition 5-2 and recommend changes to practices, processes and infrastructure.						
1083:M13.2	**Ongoing Audits of the Environmental Management Program**	The proponent shall demonstrate that the recommendations listed in the compliance/assurance audit required by condition 13-1 have been implemented or reasons provided why the recommendations cannot be implemented to the CEO within six months of the date of the compliance/assurance audit.	Correspond with the CEO to demonstrate that recommendations in the independent third party report have been implemented or provide reasons why the recommendations cannot be implemented.	Written correspondence with CEO demonstrating that recommendations are being implemented or reasons why they cannot be implemented.	Operation	Within six months of the date of the compliance/assurance audit, for duration of project.	NR	Written correspondence received from CEO on 18 November 2020 granting approval to not undertake compliance/assurance audits until 30 June 2022.
1083:M13.3	**Ongoing Audits of the Environmental Management Program**	The proponent shall ensure that the audits will be undertaken at three-monthly intervals and the reports provided to the CEO within 20 business days of the end of the three monthly periods, with the first report to be submitted within four months from the recommencement of removing shipping containers containing lead carbonate concentrate from the mine site, unless otherwise approved by the CEO. During periods when lead carbonate concentrate is not being transported, the CEO may approve that	Quarterly audits to be undertaken and audit reports provided to CEO as required.	Independent third party audit reports, written correspondence providing audit reports to CEO.	Operation	For duration of project at quarterly intervals. Reports provided to the CEO within 30 business days of the end of the three-monthly periods, with the first report to be submitted within four months from the recommencement of operations, unless otherwise approved by the CEO.	NR	Written correspondence received from CEO on 18 November 2020 granting approval to not undertake compliance/assurance audits until 30 June 2022.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		compliance/assurance audits are not to be undertaken.						
1083:14.1	**Ongoing Audits of the Sampling Program**	The proponent shall appoint an independent third party approved by the CEO to undertake a compliance/assurance audit in accordance with an audit scope approved by the CEO, and provide a report on, the implementation of the Sampling Program as required by condition 8, the sampling analysis and reporting timing obligations as required by condition 9 and the monitoring triggers, contingency measures and the ceasing of transport required by condition 10 and recommend any changes.	Seek CEO approval of independent third party and audit scope. Independent third party to provide audit reports on the implementation of the Sampling Program and the monitoring triggers, contingency measures and ceasing of transport required by Condition 10.	CAR, CEO approval of independent third party and audit scope.	Operation	Duration of project.	NR	Written correspondence received from CEO on 18 November 2020 granting approval to not undertake compliance/assurance audits until 30 June 2022.
1083:M14.2	**Ongoing Audits of the Sampling Program**	The proponent shall demonstrate that the recommendations listed in the compliance/assurance audit required by condition 14-1 have been implemented or reasons provided why the recommendations cannot be implemented to the CEO within six months of the date of the compliance/ assurance audit.	Correspond with the CEO of CEO to demonstrate that recommendations in the independent third party report have been implemented or provide reasons why the recommendations cannot be implemented.	Written correspondence with CEO demonstrating that recommendations are being implemented or reasons why they cannot be implemented.	Operation	Within six months of the date of the compliance/assurance audit, for duration of project.	NR	Written correspondence received from CEO on 18 November 2020 granting approval to not undertake compliance/assurance audits until 30 June 2022.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
1083:M14.3	**Ongoing Audits of the Sampling Program**	The proponent shall ensure that the audits will be undertaken at three monthly intervals and the reports provided to the CEO within 20 business days of the end of the three monthly periods, with the first report to be submitted within four months from the recommencement of removing shipping containers containing lead carbonate concentrate from the mine-site, unless otherwise approved by the CEO. During periods when lead carbonate concentrate is not being transported, the CEO may approve that compliance/assurance audits are not to be undertaken.	Quarterly audits to be undertaken and audit reports provided to CEO as required.	Independent third party audit reports, written correspondence providing audit reports to CEO.	Operation	For duration of project at quarterly intervals. Reports provided to the CEO within 20 business days of the end of the three-monthly periods, with the first report to be submitted within four months from the recommencement of operations, unless otherwise approved by the CEO.	NR	Written correspondence received from CEO on 18 November 2020 granting approval to not undertake compliance/assurance audits until 30 June 2022.
1083:M15.1	**Audit Reports to be Made Publicly Available**	The proponent shall ensure that all reports received from the independent third parties engaged under conditions 13 and 14 are made publicly available on the proponent's website within 30 business days of the end of the three monthly period.	Independent third party reports to be placed on Rosslyn Hill Mining's website.	CAR, Rosslyn Hill Mining website, independent third party audit reports.	Operation	Within 30 business days of the end of the quarterly audit periods for duration of project.	NR	No reports were received from independent third parties during this reporting period.
1083:M16.1	**Independent Inspector**	Prior to removing shipping containers containing lead carbonate concentrate from the mine-site at any time from the date of publication	Seek CEO approval of independent inspector. Independent inspector to be engaged and	CEO approval of independent inspector, independent inspector reports.	Construction	Before removing shipping containers containing lead carbonate concentrate from the mine-site at	NR	This condition has not been implemented as the operation remains in care and maintenance.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		of this Statement, the proponent is to engage the services of, and provide necessary funding for, an independent inspector, to be approved by the CEO. The inspector is to be engaged and funded to undertake the following in an independent manner: (1) visually inspect all bags of lead carbonate concentrate, after they are sealed, immediately prior to loading into shipping containers, for the presence of material containing lead carbonate outside the sealed bags. Where material containing lead carbonate is visible on the bag, it shall be re-vacuumed and rechecked prior to being loaded into the shipping container; (2) visually inspect all shipping containers, after they are loaded and locked and immediately prior to their removal from the mine-site, for material containing lead carbonate on the outside of the shipping containers; (3) carry out random dust monitoring inside shipping containers, by: (a) randomly selecting at least 1% of containers averaged over a	funded to inspect and report as required.			any time after processing starts.		

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		quarter of a calendar year, without the knowledge of the proponent; (b) placing dust monitors inside the selected containers prior to their removal from the mine-site; and (c) removing the dust monitors at the Port of Fremantle, and making available the samples obtained for analysis to test for the presence of lead carbonate dust~~.~~; (4) carry out quality assurance procedures as per the recommendations in the *'Review of Analytical Procedures Used and Data Produced by SGS Australia Pty Ltd for the Magellan Metals Pty Ltd 'Lead in Shipping Container Monitoring'* (Laboratory Quality Management Services, 2011) for all random dust monitoring inside shipping containers; (5) recording observations of: (a) any visible lead carbonate mud on the outside of containers pursuant to condition 16-1(2); (b) any visible lead carbonate concentrate inside the shipping container and outside of the bags in those containers opened at Fremantle ports						

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		pursuant to condition 16-1(3); and (c) report immediately to the proponent.						
1083:M16.2	**Independent Inspector**	Prior to removing shipping containers of lead carbonate concentrate from the mine-site at any time from the date of publication of this Statement, the proponent shall establish and document the detailed roles and responsibilities of the inspector engaged under condition 16, to the satisfaction of the CEO, in consultation with the Department of Biodiversity, Conservation and Attractions and the Department of Mines, Industry Regulation and Safety	Consult with the Department of Biodiversity, Conservation and Attractions and the Department of Mines, Industry Regulation and Safety to develop a document that includes the roles and responsibilities of the Independent Inspector and provide document to CEO for approval.	CEO approval of roles and responsibilities of inspector. Correspondence of consultation with the Department of Biodiversity, Conservation and Attractions and the Department of Mines, Industry Regulation and Safety	Construction	Before removing shipping containers of lead carbonate concentrate from the mine-site at any time	NR	This condition has not been implemented as the operation remains in care and maintenance.
1083:M17.1	**Reporting of Inspections and Monitoring**	The proponent shall ensure that all reports received from the Independent Inspector engaged under condition 16-1 are provided no later than the next business day to the CEO and to an appropriate reference group with relevant community representation, as determined by the Minister for Environment, and made publicly available on the proponent's website within five business days.	Provide all independent inspector reports to CEO and reference group and place reports on Rosslyn Hill Mining website.	CAR, written correspondence to CEO and reference group providing Independent Inspector reports Rosslyn Hill Mining website.	Operation	Submission of reports to CEO and reference group no later than the next business day following receipt of the report. Reports available on website within five business days of receipt.	NR	No reports were received from the Independent Inspector during the reporting period.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		Until otherwise determined by the Minister, the reference group shall be the Fremantle Ports Inner Harbour Community Liaison Group, established by the Fremantle Port Authority						
1083:M18.1	**Financial Assurance**	As security for the due and punctual observance and performance by the proponent of the requirements of condition 11-1, the proponent shall not remove shipping containers of lead carbonate concentrate from the mine-site unless the proponent has in place a financial assurance for the amount specified in condition 18-2. The financial assurance is to be in the form of an unconditional and irrevocable bank guarantee from a guarantor acceptable to the CEO, for the benefit of both the Minister and the CEO.	Seek CEO approval of guarantor and form of guarantee. Provide unconditional and irrevocable bank guarantee to CEO for the amount specified in 1083.M18.2 for the benefit of both the Minister and the CEO.	CAR, CEO approval of guarantor and form of guarantee, written correspondence to CEO providing Approved Bank Guarantee.	Overall	Before removing shipping containers of lead carbonate concentrate from the mine-site.	NR	No shipping containers containing lead carbonate concentrate have been removed from the mine-site during the reporting period.
1083:M18.2	**Financial Assurance**	The financial assurance shall be for an amount of AU$2 million and shall be substituted every five years after the provision of the first guarantee with the fixed initial amount of each successive guarantee being indexed to inflation (being	Bank guarantee as required by 1083.M18.2 to be for AU$2 million initially and indexed every five years.	CAR, Approved Bank Guarantee.	Overall	Duration of project.	NR	This condition has not been implemented due to the mine-site being in care and maintenance.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		the Consumer Price Index, Perth).						
1083:M18.3	**Financial Assurance**	In the event that the guarantor referred to in condition 18-1 terminates its liability under the bank guarantee by paying to the Minister or the CEO the balance of the financial assurance remaining unpaid, the CEO will hold the financial assurance (being the amount paid by the guarantor upon termination), as security for the due and punctual observance and performance by the proponent of the requirements of condition 11-1, in an interest bearing account nominated by the CEO, with the interest accruing for the benefit of the Minister or the CEO.	Approved Bank Guarantee to address termination of liability as required by 1083.M18.2.	CAR Approved Bank Guarantee.	Overall	If the guarantor referred to in 1083.M18.2 terminates its liability under the bank guarantee by paying the balance to the Minister or the CEO.	NR	This condition has not been implemented due to the mine-site being in care and maintenance.
1083:M18.4	**Financial Assurance**	The financial assurance may be called on or used in accordance with section 86E of the *Environmental Protection Act 1986* if the proponent fails to implement the proposal in accordance with condition 11-1.	Proponent acknowledges Approved Bank Guarantee may be called on or used if proponent fails to implement proposal in accordance with 1083.M18.2.	Letter received from CEO in relation to financial assurance.	Overall	If the proponent fails to implement the proposal in accordance with 1083.M18.2	NR	This condition has not been implemented due to the mine-site being in care and maintenance.
1083:M18.5	**Financial Assurance**	The financial assurance shall be discharged by the CEO and the Minister when the CEO has given the	Approved Bank Guarantee to be discharged by CEO and Minister when CEO	Written notice from CEO pursuant to section 86F (1) of the	Overall	As required.	NR	This condition has not been implemented due to the mine-site being in care and maintenance.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		proponent written notice pursuant to section 86F(1) of the *Environmental Protection Act 1986*.	gives written notice under s 86F (1) EP Act.	*Environmental Protection Act 1986.*				
1083:M18.6	**Financial Assurance**	The CEO may suspend the requirement for the financial assurance required under condition 18-1 and release the financial assurance if: (1) the removal of shipping containers of lead carbonate concentrate from the mine-site is suspended; or (2) the CEO is satisfied that it will be unlikely the proponent will be required to implement the Transport Emergency Response Plan as required under conditions 11-1 or 11-3 during the time that the removal of shipping containers of lead carbonate concentrate from the mine-site is suspended.	Letter from the CEO.	Letter stating suspension of the requirement.	Overall	As required.	C	Correspondence received from the CEO on 28 February 2019 suspending the requirement for the financial assurance.
1083:M18.7	**Financial Assurance**	If the CEO agrees to suspend the requirement for the financial assurance required under condition 18-6, the suspension will only be for the period of time that the removal of shipping containers of lead carbonate concentrate from the mine-site is suspended.			Overall	As required.	C	Correspondence received from the CEO on 28 February 2019 suspending the requirement for the financial assurance.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
1083:M18.8	**Financial Assurance**	Prior to recommencing the removal of shipping containers of lead carbonate concentrate from the mine-site the proponent shall provide a financial assurance to the CEO in accordance with condition 18-1 for the amount specified in condition 18-2 as if the requirement for a financial assurance under condition 18-1 was not suspended.			Overall	As required.	NR	This condition has not been implemented as the mine-site remains in care and maintenance.
1083:M19.1	**Environmental Risk Assessment**	Prior to removing shipping containers containing lead carbonate concentrate from the mine-site at any time from the date of publication of this Statement, and then annually thereafter, the proponent shall carry out a risk assessment following methodology detailed in AS/NZS 4360:2004, of all key aspects of the project regarding the potential pathways for lead carbonate contamination including: (1) mining and processing; (2) storage; (3) bagging and loading; (4) transport; and (5) port operations; and report on the findings to the CEO. During periods when lead carbonate concentrate is not being transported, the	Conduct Risk Assessments following methodology in AS/NZS 4360:2004 for potential pathways of lead carbonate contamination for mining and processing, storage, bagging and loading, transport and port operations, and provide report to the CEO. Suspend risk assessments when lead carbonate concentrate is not being transported.	Risk Assessment reports, written correspondence submitting Risk Assessment reports to CEO. Correspondence from the CEO approving suspension of risk assessments.	Overall	Before removing shipping containers containing lead carbonate concentrate from the mine-site at any time.	NR	No shipping containers containing lead carbonate concentrate were removed from the mine-site during the reporting period.

Audit Code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further Information
		CEO may approve that the annual environmental risk assessment is not to be undertaken.						
1083:M20	**Definitions**	In this Statement, unless the contrary appears: "AS/NZS 5667.1:1998" means *Australian/New Zealand Standard AS/NZS 5667.1:1998 Water quality—Sampling Part 1: Guidance on the design of sampling programs, sampling techniques and the preservation and handling of samples.* "AS/NZS 3580.10.1:2003" means *Australian/New Zealand Standard AS/NZS 3580.10.1:2003 Methods for sampling and analysis of ambient air – Determination of particulate matter – Deposition matter – Gravimetric method.*					CLD	